

1774  
#6



May 31, 2001

Commissioner for Patents  
Washington, DC 20231

Re: Applicants: VAN TASSEL et al.  
Title: FABRICATION OF PARTICULATE TAPES  
BY ELECTROPHORETIC DEPOSITION  
Serial No.: 09/478,702  
Filed: January 6, 2000  
Art Unit: 1774  
Examiner: (not yet assigned)  
Docket No.: 99-379-US

Dear Sir:

Enclosed are the following for filing in connection with the above-referenced application:

1. A Supplemental Information Disclosure Statement with Certification Pursuant to 37 C.F.R. § 1.97(e);
2. One (1) sheet Form PTO-1449, with attached references; and

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

**MAILED**

**FACSIMILE**

☒ deposited with the United States Postal Service on May 31, 2001 with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C. 20231.

☐ transmitted by facsimile on [date] to the U.S. Patent and Trademark Office.

Jody L. Burtner

Type Signature Name

(Signature of person mailing paper or fee)

(Signature of person mailing paper or fee)

RECEIVED  
JUN -7 2001  
TC 1700 MAIL ROOM

P.O. Box 488  
Pittsburgh, PA 15230-0488  
412.288.3131  
Fax 412.288.3063

Delaware  
New Jersey  
New York  
Pennsylvania  
United Kingdom  
Virginia  
Washington, DC

reedsmith.com

Commissioner for Patents  
May 31, 2001  
Page 2

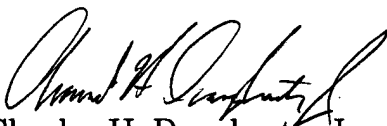
ReedSmith

3. A self-addressed stamped postcard, return of which is requested to acknowledge receipt of the enclosed documents.

The Director is authorized to charge any payment due in connection with this submission, or to credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this authorization is enclosed.

Very truly yours,

REED SMITH LLP

By   
Charles H. Dougherty, Jr.

cc: Ms. Ruth A. Harpster (w/encl.)

RECEIVED  
JUN - 7 2001  
TC 1700 MAIL ROOM



UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**VAN TASSEL et al.**

Serial No.: 09/478,702

Filed: January 6, 2000

Art Unit: 1774

Examiner: (not yet assigned)

Atty. Docket No.: 97-1804  
(99-379-US)

**FABRICATION OF PARTICULATE  
TAPES BY ELECTROPHORETIC  
DEPOSITION**

Pittsburgh, Pennsylvania 15230

Commissioner for Patents  
Washington, D.C. 20231

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Sir:

This Supplemental Information Disclosure Statement is filed in accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98. The items listed on the enclosed Form PTO-1449 may be deemed to be material to the above-identified application and are made of record to assist the Patent and Trademark Office in its examination of this application. The Examiner is respectfully requested to fully consider the items and to independently ascertain their teaching.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being

**MAILED**

☒ deposited with the United States Postal Service on May 31, 2001 with sufficient postage as first-class mail in an envelope addressed to the: Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile on [date] to the S. Patent and Trademark Office.

Jody A. Burtner

(Signature of person mailing paper or fee)

Type Signature Name

(Signature of person mailing paper or fee)

RECEIVED  
JUN - 7 2001  
10 1700 MAIL ROOM

1. ☒ Enclosed is PTO Form 1449.
2. ☐ Items listed on the enclosed Form PTO-1449 are not in the English language.
- ☐ For each of the following items, an English-language translation of that item or a portion thereof or a concise explanation of the relevance of that item is enclosed:
- ☐ For each of the following items, a concise explanation of that item is incorporated in the specification of the above-identified application:
- ☐ For each of the following items, a corresponding U.S. or other English-language patent is enclosed. Please consider the U.S. or other English-language patents in accordance with the procedure set forth in MPEP § 609:

3. ☐ Submission in Accordance with 37 CFR § 1.98(d).

Any copy of the items listed on the enclosed Form PTO-1449 that is not enclosed with this Information Disclosure Statement was previously cited by or submitted to the Patent and Trademark Office in Application Serial No. [Ser. No.], filed [Date], of which the present application relies upon for an earlier filing date under 35 U.S.C. 120.

4. ☐ Submission in Accordance with 37 CFR § 1.97(b).

This Information Disclosure Statement is being filed

- ☐ With the application;
- ☐ Within three months of the filing date of a national application;
- ☐ Within three months of the date of entry of the national stage in an international application; or
- ☐ Before the mailing date of a first Office Action on the merits,

and NO FEE is due for consideration of this Information Disclosure Statement.

5. ☒ Submission in Accordance with 37 CFR 1.97(c).

This Information Disclosure Statement is being filed before the first mailing date of either

- ☒ A final action under § 1.113; or
- ☒ A notice of allowance under § 1.311.
- ☐ A fee is due for consideration of this Information Disclosure Statement and the fee is paid below; or
- ☒ This Information Disclosure Statement is accompanied by one of the certifications pursuant to 37 CFR § 1.97(e), and NO FEE is due for consideration of this Information Disclosure Statement.

6. ☐ Submission in Accordance with 37 CFR 1.97(d).

This Information Disclosure Statement is being filed after the mailing date of either

- ☐ A final action under § 1.113; or
- ☐ A notice of allowance under § 1.311,

whichever comes first, but before payment of the issue fee, and is accompanied by

- a. One of the certifications pursuant to 37 CFR § 1.97(e), which is set forth below;
- b. The attached petition requesting consideration of this Information Disclosure Statement; and
- c. The petition fee under 37 CFR § 1.17(i)(1), which is paid below.

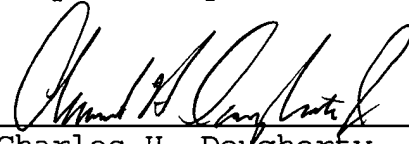
7. Certification Pursuant to 37 CFR 1.97(e).

- ☐ The undersigned certifies that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of this Information Disclosure Statement; or
- ☒ The undersigned certifies that no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, or to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

8. Fee Payment Being Made.

- ☐ Enclosed
- ☐ \$180.00 fee for submission under 37 CFR § 1.97(c).
- ☐ \$180.00 fee for submission under 37 CFR § 1.97(d).
- ☐ A check in the amount of \$[Amount] to cover the fee payment is enclosed.
- ☐ Charge the fee to Deposit Account No. 18-0582. A duplicate copy of this communication is attached.
- ☒ The Director is hereby authorized to charge payment of any additional fees associated with this Information Disclosure Statement or credit any overpayment to Deposit Account No. 18-0582. A duplicate copy of this communication is enclosed.

Respectfully submitted,



Charles H. Dougherty, Jr.  
Reg. No. 42,494

Dated: May 31, 2001

REED SMITH LLP  
P.O. Box 488  
Pittsburgh, PA 15230-0488  
(412) 288-7229

Attorney for Applicants